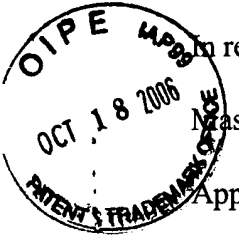


PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of

Docket No: Q79450

Masayuki NAYA, et al.

Appln. No.: 10/766,018

Group Art Unit: 2814

Confirmation No.: 2668

Examiner: Marcos D. Pizarro-Crespo

Filed: January 29, 2004

For: SENSOR CHIP, PROCESS FOR PRODUCING THE SAME, AND SENSOR USING THE SAME

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003. Also, a Japanese Office Action dated July 25, 2006 and a Japanese Office Action dated September 19, 2006 are submitted herewith.

The present Information Disclosure Statement is being filed after either a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application

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INFORMATION DISCLOSURE STATEMENT

U.S. Application No.: 10/766,018

Attorney Docket No. Q79450

(whichever is earlier), but before payment of the Issue Fee, and therefore Applicant is submitting herewith a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p), and a Statement Under 37 C.F.R. § 1.97(e).

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations:

1. The submission of an English language abstract for JP-A-2000-1393 and the citing of corresponding U.S. Patent No. 6,350,389 constitute a concise statement of relevance of JP-A-2000-1393.

2. The submission of an English language abstract for JP-A-2000-356587 constitutes a concise statement of relevance of JP-A-2000-356587.

3. The submission of an English language abstract for JP-A-6-288843 and the citing of corresponding U.S. Patent Nos. 5,492,011, 5,499,535, and 5,503,034 constitute a concise statement of relevance of JP-A-6-288843.

4. The submission of an English language abstract for JP-A-2000-243247 constitutes a concise statement of relevance of JP-A-2000-243247.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

INFORMATION DISCLOSURE STATEMENT
U.S. Application No.: 10/766,018

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4. The submission of an English language abstract for JP-A-2000-243247 constitutes a concise statement of relevance of JP-A-2000-243247.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

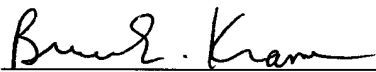
Respectfully submitted,

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER


Bruce E. Kramer
Registration No. 33,725

Date: October 18, 2006



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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THE SAME

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: October 18, 2006

Substitute for Form 1449 A & B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	10/766,018
Confirmation Number	2668
Filing Date	January 29, 2004
First Named Inventor	Masayuki NAYA
Art Unit	2814
Examiner Name	Marcos D. Pizarro-Crespo
Attorney Docket Number	Q79450

Sheet 1

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of

1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US 6,350,389	B1	02-26-2002	FUJISHIMA ET AL.
		US 5,492,011		02-20-1996	AMANO ET AL.
		US 5,499,535		03-19-1996	AMANO ET AL.
		US 5,503,034		04-02-1996	AMANO ET AL.
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	2000-1393	A	01-07-2000	UNIV TOKYO	Abstract and corresponding US Patent 6,350,389 cited above
		JP	2000-356587	A	12-26-2000	INST OF PHYSICAL & CHEMICAL RES	Abstract
		JP	6-288843	A	10-18-1994	FUJI ELECTRIC CO LTD	Abstract and corresponding US Patent Nos. 5,492,011, 5,499,535, and 5,503,034 cited above
		JP	2000-243247	A	09-08-2000	CANON INC	Abstract

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		G.A. NIKLASSON ETAL., "Optical Properties of Square Lattices of Gold Nanoparticles", NanoStructured Materials, Vol. 12, 1999, pp. 725-730	

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.